

1132 U.S. PTO
06/17/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Segal et al.
Serial No.: 09/774,768 Examiner: To Be Assigned
Filed: January 31, 2001 Group At Unit: To Be Assigned
For: VIRTUAL TRADING FLOOR SYSTEM AND METHOD

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231.

October 19, 2001

Date of Deposit

Douglas C. Wyatt

Attorney Name

43,293

Registration No.

Douglas C. Wyatt
SignatureOctober 19, 2001
Date of SignatureCOPY OF PAPER
ORIGINALLY FILED

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request that the five (5) documents listed below
and on the accompanying Form PTO-1449 and International Search Report be
considered and made of record in the above-identified application. Copies of the Search
Report and the following documents are enclosed:

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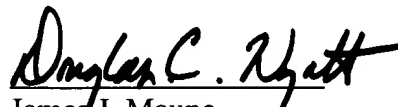
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1. B. Delaney, "The NYSE's 3D Trading Floor", IEEE Computer Graphics and Applications, Vol. 19, no. 6, pp12-15; November/December 1999; XP002171186.
2. K. Moltenbrey, "Taking Stock", Computer Graphics World, pp.41-44, October 1999; XP001011554.
3. Andia et al., "Architects design in cyberspace and real space for the NYSE", August 1999; www.members.tripod.com; XP002171187.
4. Gobbetti et al., "I3D: an interactive system for exploring annotated 3D environments", Center for Advanced Studies, Research and Development in Sardina; pp.16-30; September 1995; XP001011596.
5. "New York Stock Exchange Employs Silicon Graphics Technology in its Advanced Trading Floor Operations Center", SGI Press Release; March 9, 1999; www.sgi.com/newsroom/press_releases; XP002171189.

Applicants do not believe any fee is required herewith. If any fee is required, the Commissioner is hereby authorized to deduct the requisite fee from Deposit Account No. 02-4377.

Respectfully submitted,



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